



IRT - TEXAS  
1000 LOUISIANA STREET, SUITE 630  
MAC T0002-060  
HOUSTON, TX 77002

**REGIONAL TRANSPORTATION AUTHORITY  
DEFINED BENEFIT PLAN & TRUST**

**TRADE DATE**

**ANNUAL STATEMENT  
JANUARY 1, 2017 THROUGH DECEMBER 31, 2017**

**ACCOUNT CONTACT: LISA KECKLER  
TELEPHONE NUMBER: 210-856-5036**

**WELLS FARGO BANK, N.A., HEREBY CERTIFIES THAT  
THE ENCLOSED STATEMENT FURNISHED PURSUANT TO  
29 CFR 2520.103-5(C) IS COMPLETE AND ACCURATE.**

**CEO# BPSM**

BY 

0203

**ASSET VALUATION PRACTICES**

VALUES REFLECTED FOR PUBLICLY-TRADED ASSETS ARE FROM UNAFFILIATED FINANCIAL INDUSTRY SOURCES BELIEVED TO BE RELIABLE. VALUES FOR NON-PUBLICLY TRADED ASSETS MAY BE DETERMINED FROM OTHER UNAFFILIATED SOURCES. ASSETS FOR WHICH A CURRENT VALUE IS UNAVAILABLE MAY BE REFLECTED AT THE LAST REPORTED PRICE, AT PAR, OR MAY BE SHOWN AS HAVING NOMINAL OR NO VALUE. REPORTED VALUES MAY NOT BE THE PRICE AT WHICH AN ASSET MAY BE SOLD. ASSET VALUES ARE UPDATED AS PRICING BECOMES AVAILABLE FROM EXTERNAL SOURCES, AND MAY BE UPDATED LESS FREQUENTLY THAN STATEMENTS ARE GENERATED.

**ASSETS HELD AWAY**

AS PART OF STANDARD INDUSTRY PRACTICE, CUSTODY OF CERTAIN ACCOUNT ASSETS MAY REST WITH PARTIES EXTERNAL TO WELLS FARGO BANK, N.A. THESE ACCOUNT ASSETS ARE CONSIDERED "ASSETS HELD AWAY."

AT THE DIRECTION OF AND AS A CONVENIENCE TO ITS CLIENTS, WELLS FARGO BANK, N.A. MAY REPORT ON ACCOUNT ASSETS HELD AWAY. THESE ASSETS ARE REPORTED SOLELY AS RECORDKEEPING ITEMS ON ACCOUNT STATEMENTS. WELLS FARGO IS NOT RESPONSIBLE FOR THE ACCURACY OF INFORMATION PROVIDED BY THE EXTERNAL ASSET CUSTODIAN.

**CERTIFICATION OF ASSETS HELD AWAY**

THE CERTIFICATION CONTAINED ON THIS STATEMENT DOES NOT APPLY TO ASSETS HELD AWAY REFLECTED IN THIS STATEMENT.

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**RECONCILIATION OF MARKET VALUE  
FOR THE PERIOD JANUARY 1, 2017 THROUGH DECEMBER 31,**

RTA DBP

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|                               |               |                      |
|-------------------------------|---------------|----------------------|
| <b>BEGINNING MARKET VALUE</b> |               | <b>32,583,077.42</b> |
| <b>RECEIPTS</b>               |               |                      |
| CONTRIBUTIONS                 |               |                      |
| EMPLOYER CONTRIBUTION         | 1,383,969.00  | 1,383,969.00         |
| TOTAL EMPLOYER CONTRIBUTION   |               |                      |
|                               |               | -----                |
| TOTAL CONTRIBUTIONS           |               | 1,383,969.00         |
| <b>INCOME</b>                 |               |                      |
| INTEREST                      | 11,705.89     |                      |
| DIVIDENDS                     | 178,720.57    |                      |
| OTHER INCOME                  | 7,599.72      |                      |
| NET CHANGE IN ACCRUED INCOME  | 459.78        |                      |
| TOTAL INCOME                  |               | 198,485.96           |
| REALIZED GAIN / LOSS          |               | 1,234,094.05         |
| UNREALIZED GAIN / LOSS        |               | 2,976,435.07         |
| OTHER SECURITY RECEIPTS       |               | 513,017.52           |
|                               |               | -----                |
| <b>TOTAL RECEIPTS</b>         |               | <b>6,306,001.60</b>  |
| <b>DISBURSEMENTS</b>          |               |                      |
| BENEFIT PAYMENTS              |               |                      |
| PERIODIC PAYMENT              | -1,833,509.69 | -1,833,509.69        |
| TOTAL BENEFIT PAYMENTS        |               |                      |
| <b>EXPENSES</b>               |               |                      |
| ADMINISTRATIVE EXPENSE        | -102,227.93   | -102,227.93          |
| TOTAL EXPENSES                |               |                      |
| OTHER SECURITY DISBURSEMENTS  |               | -513,017.52          |
|                               |               | -----                |
| <b>TOTAL DISBURSEMENTS</b>    |               | <b>-2,448,755.14</b> |
|                               |               | -----                |
| <b>ENDING MARKET VALUE</b>    |               | <b>36,440,323.88</b> |
|                               |               | =====                |

| RECONCILEMENT OF CASH BALANCES<br>FOR THE PERIOD JANUARY 1, 2017 THROUGH DECEMBER 31, 2017 | RTA DBP       | DB                    |
|--|---------------|-----------------------|
| <b>BEGINNING CASH BALANCE</b>  |               | <b>0.00</b>           |
| <u>RECEIPTS</u>  |               |                       |
| CONTRIBUTIONS  |               |                       |
| EMPLOYER CONTRIBUTION  | 1,383,969.00  |                       |
| TOTAL EMPLOYER CONTRIBUTION  |               | 1,383,969.00          |
|  |               | -----                 |
| TOTAL CONTRIBUTIONS  |               | 1,383,969.00          |
| INCOME   |               |                       |
| INTEREST   | 11,705.89     |                       |
| DIVIDENDS  | 178,720.57    |                       |
| OTHER INCOME   | 7,599.72      |                       |
| TOTAL INCOME   |               | 198,026.18            |
| PROCEEDS FROM DISPOSITIONS   |               | 10,578,773.18         |
|  |               | -----                 |
| <b>TOTAL RECEIPTS</b>  |               | <b>12,160,768.36</b>  |
| <u>DISBURSEMENTS</u>   |               |                       |
| BENEFIT PAYMENTS   |               |                       |
| PERIODIC PAYMENT   | -1,833,509.69 |                       |
| TOTAL BENEFIT PAYMENTS   |               | -1,833,509.69         |
| EXPENSES   |               |                       |
| ADMINISTRATIVE EXPENSE   | -102,227.93   |                       |
| TOTAL EXPENSES   |               | -102,227.93           |
| COST OF ACQUISITIONS   |               | -10,225,030.74        |
|  |               | -----                 |
| <b>TOTAL DISBURSEMENTS</b>   |               | <b>-12,160,768.36</b> |
|  |               | -----                 |
| <b>ENDING CASH BALANCE</b>   |               | <b>0.00</b>           |
|  |               | -----                 |

**RECONCILEMENT OF COST VALUE  
FOR THE PERIOD JANUARY 1, 2017 THROUGH DECEMBER 31, 2017**

RTA DBP

DB

|                              |               |                      |
|------------------------------|---------------|----------------------|
| <b>BEGINNING COST VALUE</b>  |               | <b>28,785,857.67</b> |
| RECEIPTS                     |               |                      |
| CONTRIBUTIONS                |               |                      |
| EMPLOYER CONTRIBUTION        | 1,383,969.00  |                      |
| TOTAL EMPLOYER CONTRIBUTION  |               | 1,383,969.00         |
| TOTAL CONTRIBUTIONS          |               | 1,383,969.00         |
| INCOME                       |               |                      |
| INTEREST                     | 11,705.89     |                      |
| DIVIDENDS                    | 178,720.57    |                      |
| OTHER INCOME                 | 7,599.72      |                      |
| NET CHANGE IN ACCRUED INCOME | 459.78        |                      |
| TOTAL INCOME                 |               | 198,485.96           |
| REALIZED GAIN / LOSS         |               | 1,234,094.05         |
| OTHER SECURITY RECEIPTS      |               | 526,964.32           |
| <b>TOTAL RECEIPTS</b>        |               | <b>3,343,513.33</b>  |
| DISBURSEMENTS                |               |                      |
| BENEFIT PAYMENTS             |               |                      |
| PERIODIC PAYMENT             | -1,833,509.69 |                      |
| TOTAL BENEFIT PAYMENTS       |               | -1,833,509.69        |
| EXPENSES                     |               |                      |
| ADMINISTRATIVE EXPENSE       | -102,227.93   |                      |
| TOTAL EXPENSES               |               | -102,227.93          |
| OTHER SECURITY DISBURSEMENTS |               | -526,964.32          |
| <b>TOTAL DISBURSEMENTS</b>   |               | <b>-2,462,701.94</b> |
| <b>ENDING COST VALUE</b>     |               | <b>29,666,669.06</b> |

**CHANGE IN UNREALIZED GAIN/LOSS  
FOR THE PERIOD JANUARY 1, 2017 THROUGH DECEMBER 31, 2017**

RTA DBP

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**UNREALIZED  
GAIN/LOSS**

|   |                |                     |
|---|----------------|---------------------|
| ACCOUNT MARKET VALUE - CURRENT PERIOD     | 36,440,323.88  |                     |
| ACCOUNT COST VALUE - CURRENT PERIOD       | -29,666,669.06 |                     |
|   | -----          |                     |
| CURRENT UNREALIZED GAIN/LOSS              |                | 6,773,654.82        |
| <br>                                      |                |                     |
| ACCOUNT MARKET VALUE - PRIOR PERIOD       | -32,583,077.42 |                     |
| ACCOUNT COST VALUE - PRIOR PERIOD         | 28,785,857.67  |                     |
|   | -----          |                     |
| PRIOR UNREALIZED GAIN/LOSS                |                | -3,797,219.75       |
| <br>                                      |                |                     |
| OTHER SECURITY RECEIPTS AT MARKET         | -513,017.52    |                     |
| OTHER SECURITY DISBURSEMENTS AT MARKET    | 513,017.52     |                     |
| OTHER SECURITY RECEIPTS AT COST           | 526,964.32     |                     |
| OTHER SECURITY DISBURSEMENTS AT COST      | -526,964.32    |                     |
|   | -----          |                     |
| <br>                                      |                |                     |
| OTHER SECURITY CHANGES UNREALIZED G/L     |                | 0.00                |
|   |                | -----               |
| <br>                                      |                |                     |
| <b>NET CHANGE IN UNREALIZED GAIN/LOSS</b> |                | <b>2,976,435.07</b> |
|   |                | -----               |



**SUMMARY OF NET INCOME EARNED  
FOR THE PERIOD JANUARY 1, 2017 THROUGH DECEMBER 31, 2017**

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**INCOME**

|              |            |  |
|--------------|------------|--|
| INTEREST     | 11,705.89  |  |
| DIVIDENDS    | 178,720.57 |  |
| OTHER INCOME | 7,599.72   |  |
|              | -----      |  |

|                         |            |  |
|-------------------------|------------|--|
| GROSS INCOME COLLECTED  | 198,026.18 |  |
| LESS: EXPENSE OF INCOME | 0.00       |  |

|                      |  |            |
|----------------------|--|------------|
| NET INCOME COLLECTED |  | 198,026.18 |
|----------------------|--|------------|

**ADJUSTMENTS**

|                            |          |  |
|----------------------------|----------|--|
| CURRENT ACCRUED INCOME     | 1,191.28 |  |
| LESS: PRIOR ACCRUED INCOME | -731.50  |  |

|                              |  |        |
|------------------------------|--|--------|
| NET CHANGE IN ACCRUED INCOME |  | 459.78 |
|------------------------------|--|--------|

**NET INCOME EARNED**

|  |       |                   |       |
|--|-------|-------------------|-------|
|  | ----- | <b>198,485.96</b> | ----- |
|--|-------|-------------------|-------|



**NET FUND ADJUSTMENT  
FOR THE PERIOD JANUARY 1, 2017 THROUGH DECEMBER 31, 2017**

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RTA DBP

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|                                   |                     |
|-----------------------------------|---------------------|
| UNREALIZED GAIN / LOSS            | 2,976,435.07        |
| REALIZED GAIN / LOSS              | 1,234,094.05        |
| NET INCOME:                       |                     |
| INTEREST                          | 11,705.89           |
| DIVIDENDS                         | 178,720.57          |
| REAL ESTATE AND MIA INCOME        | 0.00                |
| OTHER INCOME                      | 7,599.72            |
| EXPENSE OF INCOME COLLECTED       | 0.00                |
| NET CHANGE IN ACCRUED INCOME      | 459.78              |
| ADMINISTRATIVE AND OTHER EXPENSES | -102,227.93         |
| <b>NET FUND ADJUSTMENT</b>        | <b>4,306,787.15</b> |

**BALANCE SHEET  
THE PERIOD JANUARY 1, 2017 THROUGH DECEMBER 31, 2017**

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|                                    | <b>BEGINNING<br/>HISTORICAL COST/<br/>REVALUED COST</b> | <b>ENDING<br/>HISTORICAL COST/<br/>REVALUED COST</b> | <b>% TOTAL<br/>COST</b> | <b>BEGINNING<br/>MARKET VALUE</b> | <b>ENDING<br/>MARKET VALUE</b> | <b>% TOTAL<br/>MARKET</b> |
|------------------------------------|---|--|-------------------------|-----------------------------------|--------------------------------|---------------------------|
| CASH                               | 0.00<br>0.00  | 0.00<br>0.00   | 0.00                    | 0.00                              | 0.00                           | 0.00                      |
| CASH EQUIVALENTS                   | 993,498.66<br>993,498.66                                | 1,106,130.23<br>1,106,130.23                         | 3.73                    | 993,498.66                        | 1,106,130.23                   | 3.04                      |
| POOLED, COMMON AND COLLECTIVE FUND | 20,064,250.18<br>24,060,234.78                          | 20,568,089.60<br>23,813,769.98                       | 69.33                   | 24,060,234.78                     | 26,915,751.17                  | 73.86                     |
| MUTUAL FUNDS                       | 7,727,377.33<br>7,528,612.48                            | 7,991,257.95<br>7,850,237.44                         | 26.94                   | 7,528,612.48                      | 8,417,251.20                   | 23.10                     |
| <b>TOTAL ASSETS</b>                | <b>28,785,126.17<br/>32,582,345.92</b>                  | <b>29,665,477.78<br/>32,770,137.65</b>               | <b>100.00</b>           | <b>32,582,345.92</b>              | <b>36,439,132.60</b>           | <b>100.00</b>             |
| PENDING CASH                       | 0.00<br>0.00  | 0.00<br>0.00   | 0.00                    | 0.00                              | 0.00                           | 0.00                      |
| ACCRUED INCOME                     | 731.50<br>731.50  | 1,191.28<br>1,191.28                                 |                         | 731.50                            | 1,191.28                       |                           |
| <b>TOTAL ACCOUNT</b>               | <b>28,785,857.67<br/>32,583,077.42</b>                  | <b>29,666,669.06<br/>32,771,328.93</b>               |                         | <b>32,583,077.42</b>              | <b>36,440,323.88</b>           |                           |

**SUMMARY OF ASSETS  
OF DECEMBER 31, 2017**

RTA DBP

DB AS

|                                 | <u>COST VALUE</u>    | <u>MARKET VALUE</u>  | <u>UNREALIZED<br/>GAIN/LOSS</u> | <u>% TOTAL<br/>MARKET</u> | <u>ESTIMATED<br/>ANNUAL INCOME</u> | <u>CURRENT<br/>YIELD</u> | <u>ACCRUED INCOME</u> |
|---------------------------------|----------------------|----------------------|---------------------------------|---------------------------|------------------------------------|--------------------------|-----------------------|
| CASH                            | 0.00                 | 0.00                 |                                 | 0.00                      |                                    |                          |                       |
| CASH EQUIVALENTS                | 1,106,130.23         | 1,106,130.23         | 0.00                            | 3.04                      | 16,489.45                          | 1.49                     | 1,191.28              |
| POOLED, COMMON AND COLLECTIVE F | 20,568,089.60        | 26,915,751.17        | 6,347,661.57                    | 73.86                     | 0.00                               | 0.00                     | 0.00                  |
| MUTUAL FUNDS                    | 7,991,257.95         | 8,417,251.20         | 425,993.25                      | 23.10                     | 188,728.04                         | 2.24                     | 0.00                  |
| <b>TOTAL ASSETS</b>             | <b>29,665,477.78</b> | <b>36,439,132.60</b> | <b>6,773,654.82</b>             | <b>100.00</b>             | <b>205,217.48</b>                  | <b>0.56</b>              | <b>1,191.28</b>       |
| ACCRUED INCOME                  | 1,191.28             | 1,191.28             |                                 | 0.00                      |                                    |                          |                       |
| NET PENDING TRADES              | 0.00                 | 0.00                 |                                 | 0.00                      |                                    |                          |                       |
| <b>TOTAL ACCOUNT</b>            | <b>29,666,669.06</b> | <b>36,440,323.88</b> | <b>6,773,654.82</b>             | <b>100.00</b>             | <b>205,217.48</b>                  | <b>0.56</b>              | <b>1,191.28</b>       |

STATEMENT OF ASSETS AND LIABILITIES  
OF DECEMBER 31, 2017

RTA DBP

DB AS

| <u>PAR VALUE/SHARES</u>                           | <u>DESCRIPTION</u>   | <u>COST VALUE / UNIT COST</u> | <u>MARKET VALUE / UNIT PRICE</u> | <u>UNREALIZED GAIN/LOSS</u> | <u>ACCRUED INCOME</u>  |
|---|--|-------------------------------|----------------------------------|-----------------------------|------------------------|
| <b><u>CASH EQUIVALENTS</u></b>                    |  |                               |                                  |                             |                        |
| <b>OTHER CASH EQUIVALENTS</b>                     |  |                               |                                  |                             |                        |
| SHORT TERM INVESTMENT FUNDS                       |  |                               |                                  |                             |                        |
| 1,106,130.230                                     | WELLS FARGO/BLACKROCK<br>SHORT-TERM INVESTMENT FUND F<br>PF9980012 | 1,106,130.23<br>100.0000      | 1,106,130.23<br>100.0000         | 0.00                        | 1,191.28               |
|   | TOTAL SHORT TERM INVESTMENT FUNDS                                  | <u>1,106,130.23</u>           | <u>1,106,130.23</u>              | <u>0.00</u>                 | <u>1,191.28</u>        |
|   | <b>TOTAL OTHER CASH EQUIVALENTS</b>                                | <u><b>1,106,130.23</b></u>    | <u><b>1,106,130.23</b></u>       | <u><b>0.00</b></u>          | <u><b>1,191.28</b></u> |
|   | <b>TOTAL CASH EQUIVALENTS</b>                                      | <b>1,106,130.23</b>           | <b>1,106,130.23</b>              | <b>0.00</b>                 | <b>1,191.28</b>        |
| <b><u>POOLED, COMMON AND COLLECTIVE FUNDS</u></b> |  |                               |                                  |                             |                        |
| POOLED FUNDS                                      |  |                               |                                  |                             |                        |
| 454,166.565                                       | WF CORE BOND CIT F<br>94987Q680                                    | 4,882,649.89<br>10.7508       | 5,103,106.36<br>11.2362          | 220,456.47                  | 0.00                   |
| 155,972.467                                       | WF/BLACKROCK S&P MIDCAP INDEX CIT F<br>09257F420                   | 2,038,252.44<br>13.0680       | 3,264,831.28<br>20.9321          | 1,226,578.84                | 0.00                   |
| 151,766.737                                       | WELLS FARGO/BLACKROCK S&P 500<br>INDEX CIT F<br>09257F412          | 2,010,480.54<br>13.2472       | 3,256,307.11<br>21.4560          | 1,245,826.57                | 0.00                   |
| 104,508.189                                       | WELLS FARGO/CAUSEWAY<br>INTERNATIONAL VALUE CIT F<br>94987Q375     | 1,123,560.15<br>10.7509       | 1,472,018.74<br>14.0852          | 348,458.59                  | 0.00                   |

STATEMENT OF ASSETS AND LIABILITIES  
OF DECEMBER 31, 2017

RTA DBP

DB AS

| <u>PAR VALUE/SHARES</u> | <u>DESCRIPTION</u>  | <u>COST VALUE<br/>/ UNIT COST</u> | <u>MARKET VALUE<br/>/ UNIT PRICE</u> | <u>UNREALIZED<br/>GAIN/LOSS</u> | <u>ACCRUED<br/>INCOME</u> |
|-------------------------|---|-----------------------------------|--------------------------------------|---------------------------------|---------------------------|
| 198,309.270             | WELLS FARGO/DODGE & COX INTERMEDIATE<br>BOND CIT F<br>94987Q276 | 2,008,282.36<br>10.1270           | 2,183,662.70<br>11.0114              | 175,380.34                      | 0.00                      |
| 267,303.393             | WF/FEDERATED TOTAL RETURN BOND CIT F<br>94987Q524               | 2,707,882.23<br>10.1304           | 2,914,890.04<br>10.9048              | 207,007.81                      | 0.00                      |
| 159,643.922             | WELLS FARGO/LAZARD<br>INTERNATIONAL EQUITY CIT F<br>94987Q334   | 1,597,460.09<br>10.0064           | 1,836,000.89<br>11.5006              | 238,540.80                      | 0.00                      |
| 48,093.234              | WF/MFS VALUE CIT F<br>949907356                                 | 609,855.39<br>12.6807             | 1,090,042.77<br>22.6652              | 480,187.38                      | 0.00                      |
| 143,001.453             | WF/MULTI-MANAGER SMALL CAP CIT F<br>949907349                   | 1,918,249.86<br>13.4142           | 3,265,709.88<br>22.8369              | 1,347,460.02                    | 0.00                      |
| 46,519.230              | WF/TROWE PRICE INST EQ INC MGD CIT F<br>94987Q490               | 487,229.35<br>10.4737             | 725,369.70<br>15.5929                | 238,140.35                      | 0.00                      |
| 39,457.483              | WF/TROWE PRICE INST LCG MGD CIT F<br>949907364                  | 532,719.81<br>13.5011             | 1,081,399.40<br>27.4067              | 548,679.59                      | 0.00                      |
| 65,146.749              | WF/VOYA LARGE CAP GROWTH CIT F<br>94988K310                     | 651,467.49<br>10.0000             | 722,412.30<br>11.0890                | 70,944.81                       | 0.00                      |
|                         | TOTAL POOLED FUNDS  | 20,568,089.60                     | 26,915,751.17                        | 6,347,661.57                    | 0.00                      |
|                         | <b>TOTAL POOLED, COMMON AND COLLECTIVE FUNDS</b>                | <b>20,568,089.60</b>              | <b>26,915,751.17</b>                 | <b>6,347,661.57</b>             | <b>0.00</b>               |
|                         | <b><u>MUTUAL FUNDS</u></b>                                      |                                   |                                      |                                 |                           |
|                         | MUTUAL FUNDS - EQUITY   |                                   |                                      |                                 |                           |

STATEMENT OF ASSETS AND LIABILITIES  
OF DECEMBER 31, 2017

RTA DBP

DB AS

| PAR VALUE/SHARES | DESCRIPTION  | COST VALUE<br>/ UNIT COST | MARKET VALUE<br>/ UNIT PRICE | UNREALIZED<br>GAIN/LOSS | ACCRUED<br>INCOME |
|------------------|--|---------------------------|------------------------------|-------------------------|-------------------|
| 32,606.132       | ACADIAN EMERGING MARKETS PORTFOLIO<br>CLASS I #1960<br>00769G188                               | 590,357.80<br>18.1057     | 729,725.23<br>22.3800        | 139,367.43              | 0.00              |
| 120,635.388      | ALPS/CORECOMMODITY MANAGEMENT<br>COMPLETE COMMODITI ESSM STRATEGY FUND<br>CLASS I<br>317609667 | 1,002,335.67<br>8.3088    | 936,130.61<br>7.7600         | 66,205.06-              | 0.00              |
| 21,615.240       | HARBOR INTERNATIONAL FUND CLASS<br>INSTITUTIONAL #2011<br>411511306                            | 1,318,154.58<br>60.9826   | 1,459,461.00<br>67.5200      | 141,306.42              | 0.00              |
| 17,326.367       | OPPENHEIMER DEVELOPING MARKETS FUND<br>CLASS I #799<br>683974604                               | 572,308.49<br>33.0311     | 743,820.94<br>42.9300        | 171,512.45              | 0.00              |
|                  | TOTAL MUTUAL FUNDS - EQUITY  | 3,483,156.54              | 3,869,137.78                 | 385,981.24              | 0.00              |
|                  | MUTUAL FUNDS - CORPORATE BONDS   |                           |                              |                         |                   |
| 307,421.084      | METROPOLITAN WEST TOTAL RETURN BOND<br>FUND CLASS I #512<br>592905509                          | 3,309,150.42<br>10.7642   | 3,277,108.76<br>10.6600      | 32,041.66-              | 0.00              |
|                  | TOTAL MUTUAL FUNDS - CORPORATE BONDS   | 3,309,150.42              | 3,277,108.76                 | 32,041.66-              | 0.00              |
|                  | CLOSED END FUNDS EQUITY  |                           |                              |                         |                   |
| 15,317.000       | VANGUARD REIT VIPER<br>922908553   | 1,198,950.99<br>78.2758   | 1,271,004.66<br>82.9800      | 72,053.67               | 0.00              |
|                  | TOTAL CLOSED END FUNDS EQUITY  | 1,198,950.99              | 1,271,004.66                 | 72,053.67               | 0.00              |
|                  | <b>TOTAL MUTUAL FUNDS</b>  | <b>7,991,257.95</b>       | <b>8,417,251.20</b>          | <b>425,993.25</b>       | <b>0.00</b>       |
|                  | <b>TOTAL ASSETS AND LIABILITIES</b>  | <b>29,665,477.78</b>      | <b>36,439,132.60</b>         | <b>6,773,654.82</b>     | <b>1,191.28</b>   |
|                  | ACCRUED INCOME   | 1,191.28                  | 1,191.28                     |                         |                   |
|                  | <b>TOTAL ACCOUNT</b>   | <b>29,666,669.06</b>      | <b>36,440,323.88</b>         | <b>6,773,654.82</b>     |                   |

**SCHEDULE OF INCOME EARNED  
THE PERIOD JANUARY 1, 2017 THROUGH DECEMBER 31, 2017**

RTA DBP

| <u>ACTIVITY DATE</u>    | <u>DESCRIPTION</u>  | <u>PAR VALUE SHARES</u> | <u>NET INCOME COLLECTED</u> | <u>PRIOR ACCRUED INCOME</u> | <u>CURRENT ACCRUED INCOME</u> | <u>EARNED INCOME</u> |
|-------------------------|---|-------------------------|-----------------------------|-----------------------------|-------------------------------|----------------------|
| <b>CASH EQUIVALENTS</b> |   |                         |                             |                             |                               |                      |
|                         | WELLS FARGO/BLACKROCK<br>SHORT-TERM INVESTMENT FUND F<br>CUSIP PF9980012                          |                         |                             |                             |                               |                      |
| 12/31/16                | PRIOR ACCRUED INCOME  | 993,498.66              |                             | 731.50                      |                               |                      |
| 01/03/17                | INCOME RECEIPT RECEIVED   | 0.00                    | 731.50                      |                             |                               |                      |
| 02/01/17                | INCOME RECEIPT RECEIVED   | 0.00                    | 689.11                      |                             |                               |                      |
| 03/01/17                | INCOME RECEIPT RECEIVED   | 0.00                    | 1,486.03                    |                             |                               |                      |
| 04/03/17                | INCOME RECEIPT RECEIVED   | 0.00                    | 1,117.39                    |                             |                               |                      |
| 05/01/17                | INCOME RECEIPT RECEIVED   | 0.00                    | 838.31                      |                             |                               |                      |
| 06/01/17                | INCOME RECEIPT RECEIVED   | 0.00                    | 888.15                      |                             |                               |                      |
| 07/03/17                | INCOME RECEIPT RECEIVED   | 0.00                    | 901.20                      |                             |                               |                      |
| 08/01/17                | INCOME RECEIPT RECEIVED   | 0.00                    | 975.79                      |                             |                               |                      |
| 09/01/17                | INCOME RECEIPT RECEIVED   | 0.00                    | 1,049.58                    |                             |                               |                      |
| 10/02/17                | INCOME RECEIPT RECEIVED   | 0.00                    | 982.08                      |                             |                               |                      |
| 11/01/17                | INCOME RECEIPT RECEIVED   | 0.00                    | 1,038.25                    |                             |                               |                      |
| 12/01/17                | INCOME RECEIPT RECEIVED   | 0.00                    | 1,008.50                    |                             |                               |                      |
| 12/31/17                | CURRENT ACCRUED INCOME  | 1,106,130.23            |                             |                             | 1,191.28                      |                      |
|                         | TOTAL   |                         | 11,705.89                   | 731.50                      | 1,191.28                      | 12,165.67            |
|                         | <b>CASH EQUIVALENTS TOTAL</b>   |                         | <b>11,705.89</b>            | <b>731.50</b>               | <b>1,191.28</b>               | <b>12,165.67</b>     |
| <b>MUTUAL FUNDS</b>     |   |                         |                             |                             |                               |                      |
|                         | ACADIAN EMERGING MARKETS PORTFOLIO<br>CLASS-INST #1260<br>CUSIP 00758M162                         |                         |                             |                             |                               |                      |
| 12/31/16                | PRIOR ACCRUED INCOME  | 29,442.99               |                             | 0.00                        |                               |                      |
| 01/04/17                | DISTRIBUTION OF CTF EARNINGS RECEIVED   | 0.00                    | 9,745.63                    |                             |                               |                      |
| 12/31/17                | CURRENT ACCRUED INCOME  | 0.00                    |                             |                             | 0.00                          |                      |
|                         | TOTAL   |                         | 9,745.63                    | 0.00                        | 0.00                          | 9,745.63             |
|                         | ALPS/CORECOMMODITY MANAGEMENT<br>COMPLETE COMMODITIES STRATEGY FUND<br>CLASS 1<br>CUSIP 317609667 |                         |                             |                             |                               |                      |



**SCHEDULE OF INCOME EARNED  
THE PERIOD JANUARY 1, 2017 THROUGH DECEMBER 31, 2017**

RTA DBP

| <u>ACTIVITY DATE</u> | <u>DESCRIPTION</u>  | <u>PAR VALUE SHARES</u> | <u>NET INCOME COLLECTED</u> | <u>PRIOR ACCRUED INCOME</u> | <u>CURRENT ACCRUED INCOME</u> | <u>EARNED INCOME</u> |
|----------------------|---|-------------------------|-----------------------------|-----------------------------|-------------------------------|----------------------|
| 12/31/16             | PRIOR ACCRUED INCOME  | 107,827.59              |                             | 0.00                        |                               |                      |
| 12/14/17             | INCOME RECEIPT RECEIVED   | 0.00                    | 22,416.98                   |                             |                               |                      |
| 12/31/17             | CURRENT ACCRUED INCOME  | 120,635.39              |                             |                             | 0.00                          |                      |
|                      | TOTAL   |                         | 22,416.98                   | 0.00                        | 0.00                          | 22,416.98            |
|                      | HARBOR INTERNATIONAL FUND CLASS<br>INSTITUTIONAL #2011<br>CUSIP 411511306   |                         |                             |                             |                               |                      |
| 12/31/16             | PRIOR ACCRUED INCOME  | 22,819.55               |                             | 0.00                        |                               |                      |
| 12/20/17             | INCOME RECEIPT RECEIVED   | 0.00                    | 25,367.61                   |                             |                               |                      |
| 12/31/17             | CURRENT ACCRUED INCOME  | 21,615.24               |                             |                             | 0.00                          |                      |
|                      | TOTAL   |                         | 25,367.61                   | 0.00                        | 0.00                          | 25,367.61            |
|                      | METROPOLITAN WEST TOTAL RETURN BOND<br>FUND CLASS I #512<br>CUSIP 592905509 |                         |                             |                             |                               |                      |
| 12/31/16             | PRIOR ACCRUED INCOME  | 249,058.29              |                             | 0.00                        |                               |                      |
| 01/03/17             | INCOME RECEIPT RECEIVED   | 0.00                    | 4,146.50                    |                             |                               |                      |
| 02/02/17             | INCOME RECEIPT RECEIVED   | 0.00                    | 4,273.10                    |                             |                               |                      |
| 03/01/17             | INCOME RECEIPT RECEIVED   | 0.00                    | 4,200.65                    |                             |                               |                      |
| 04/04/17             | INCOME RECEIPT RECEIVED   | 0.00                    | 5,985.13                    |                             |                               |                      |
| 05/03/17             | INCOME RECEIPT RECEIVED   | 0.00                    | 5,566.22                    |                             |                               |                      |
| 06/01/17             | INCOME RECEIPT RECEIVED   | 0.00                    | 5,828.87                    |                             |                               |                      |
| 07/03/17             | INCOME RECEIPT RECEIVED   | 0.00                    | 5,386.50                    |                             |                               |                      |
| 08/01/17             | INCOME RECEIPT RECEIVED   | 0.00                    | 5,601.77                    |                             |                               |                      |
| 09/01/17             | INCOME RECEIPT RECEIVED   | 0.00                    | 5,793.89                    |                             |                               |                      |
| 10/02/17             | INCOME RECEIPT RECEIVED   | 0.00                    | 5,249.23                    |                             |                               |                      |
| 11/01/17             | INCOME RECEIPT RECEIVED   | 0.00                    | 6,116.30                    |                             |                               |                      |
| 12/01/17             | INCOME RECEIPT RECEIVED   | 0.00                    | 5,978.29                    |                             |                               |                      |
| 12/31/17             | CURRENT ACCRUED INCOME  | 307,421.08              |                             |                             | 0.00                          |                      |
|                      | TOTAL   |                         | 64,126.45                   | 0.00                        | 0.00                          | 64,126.45            |
|                      | OPPENHEIMER DEVELOPING MARKETS FUND<br>CLASS I #799<br>CUSIP 683974604      |                         |                             |                             |                               |                      |
| 12/31/16             | PRIOR ACCRUED INCOME  | 15,475.46               |                             | 0.00                        |                               |                      |
| 12/05/17             | DISTRIBUTION OF CTF EARNINGS RECEIVED                                       | 0.00                    | 5,243.77                    |                             |                               |                      |
| 12/31/17             | CURRENT ACCRUED INCOME  | 17,326.37               |                             |                             | 0.00                          |                      |
|                      | TOTAL   |                         | 5,243.77                    | 0.00                        | 0.00                          | 5,243.77             |

**SCHEDULE OF INCOME EARNED  
THE PERIOD JANUARY 1, 2017 THROUGH DECEMBER 31, 2017**

RTA DBP

| <u>ACTIVITY DATE</u> | <u>DESCRIPTION</u>                     | <u>PAR VALUE SHARES</u> | <u>NET INCOME COLLECTED</u> | <u>PRIOR ACCRUED INCOME</u> | <u>CURRENT ACCRUED INCOME</u> | <u>EARNED INCOME</u>     |
|----------------------|--|-------------------------|-----------------------------|-----------------------------|-------------------------------|--------------------------|
|                      | VANGUARD REIT VIPER<br>CUSIP 922908553 |                         |                             |                             |                               |                          |
| 12/31/16             | PRIOR ACCRUED INCOME                   | 9,748.00                |                             | 0.00                        |                               |                          |
| 03/28/17             | DISTRIBUTION OF CTF EARNINGS RECEIVED  | 0.00                    | 8,552.53                    |                             |                               |                          |
| 06/29/17             | DISTRIBUTION OF CTF EARNINGS RECEIVED  | 0.00                    | 11,803.54                   |                             |                               |                          |
| 09/27/17             | DISTRIBUTION OF CTF EARNINGS RECEIVED  | 0.00                    | 12,589.67                   |                             |                               |                          |
| 12/27/17             | DISTRIBUTION OF CTF EARNINGS RECEIVED  | 0.00                    | 18,874.39                   |                             |                               |                          |
| 12/31/17             | CURRENT ACCRUED INCOME                 | 15,317.00               |                             |                             | 0.00                          |                          |
|                      | TOTAL                                  |                         | <u>51,820.13</u>            | <u>0.00</u>                 | <u>0.00</u>                   | <u>51,820.13</u>         |
|                      | <b>MUTUAL FUNDS TOTAL</b>              |                         | <b><u>178,720.57</u></b>    | <b><u>0.00</u></b>          | <b><u>0.00</u></b>            | <b><u>178,720.57</u></b> |

**OTHER INCOME**

|          |                                |      |        |
|----------|--------------------------------|------|--------|
| 01/11/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 107.99 |
| 01/26/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 103.04 |
| 01/27/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 221.10 |
| 01/27/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 207.22 |
| 01/27/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 219.15 |
| 02/14/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 111.00 |
| 02/14/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 100.63 |
| 02/14/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 130.06 |
| 02/16/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 112.17 |
| 02/16/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 105.03 |
| 02/16/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 113.28 |
| 03/02/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 164.41 |
| 03/02/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 156.85 |
| 03/02/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 163.25 |
| 03/09/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 107.08 |
| 03/20/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 111.46 |
| 04/05/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 97.49  |
| 04/26/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 223.45 |
| 04/26/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 203.26 |
| 04/26/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 256.31 |
| 05/11/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 28.63  |
| 05/11/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 38.91  |
| 05/11/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 44.53  |
| 05/11/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 37.80  |
| 05/30/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 112.79 |
| 05/30/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 100.90 |
| 05/30/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 113.83 |
| 05/30/17 | MUTUAL FUND REV SHARE RECEIVED | 0.00 | 168.68 |

**SCHEDULE OF INCOME EARNED  
THE PERIOD JANUARY 1, 2017 THROUGH DECEMBER 31, 2017**

RTA DBP

| <u>ACTIVITY DATE</u> | <u>DESCRIPTION</u>             | <u>PAR VALUE SHARES</u> | <u>NET INCOME COLLECTED</u> | <u>PRIOR ACCRUED INCOME</u> | <u>CURRENT ACCRUED INCOME</u> | <u>EARNED INCOME</u> |
|----------------------|--------------------------------|-------------------------|-----------------------------|-----------------------------|-------------------------------|----------------------|
| 05/30/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 155.03                      |                             |                               |                      |
| 05/30/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 128.72                      |                             |                               |                      |
| 06/06/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 117.68                      |                             |                               |                      |
| 06/09/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 114.28                      |                             |                               |                      |
| 07/12/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 120.59                      |                             |                               |                      |
| 08/08/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 260.64                      |                             |                               |                      |
| 08/08/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 268.13                      |                             |                               |                      |
| 08/08/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 256.70                      |                             |                               |                      |
| 08/10/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 42.20                       |                             |                               |                      |
| 08/10/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 44.82                       |                             |                               |                      |
| 08/10/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 45.75                       |                             |                               |                      |
| 08/11/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 116.22                      |                             |                               |                      |
| 08/28/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 114.78                      |                             |                               |                      |
| 08/28/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 117.46                      |                             |                               |                      |
| 08/28/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 110.17                      |                             |                               |                      |
| 09/07/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 102.71                      |                             |                               |                      |
| 09/25/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 119.84                      |                             |                               |                      |
| 10/03/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 118.90                      |                             |                               |                      |
| 11/08/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 62.11                       |                             |                               |                      |
| 11/08/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 60.58                       |                             |                               |                      |
| 11/08/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 59.14                       |                             |                               |                      |
| 11/09/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 267.78                      |                             |                               |                      |
| 11/09/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 270.24                      |                             |                               |                      |
| 11/09/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 262.78                      |                             |                               |                      |
| 11/13/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 117.06                      |                             |                               |                      |
| 11/28/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 124.52                      |                             |                               |                      |
| 11/28/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 121.00                      |                             |                               |                      |
| 11/28/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 118.44                      |                             |                               |                      |
| 12/06/17             | MUTUAL FUND REV SHARE RECEIVED | 0.00                    | 121.15                      |                             |                               |                      |
|                      | TOTAL                          |                         | 7,599.72                    | 0.00                        | 0.00                          | 7,599.72             |
|                      | <b>OTHER INCOME TOTAL</b>      |                         | <b>7,599.72</b>             | <b>0.00</b>                 | <b>0.00</b>                   | <b>7,599.72</b>      |
|                      | <b>GRAND TOTAL</b>             |                         | <b>198,026.18</b>           | <b>731.50</b>               | <b>1,191.28</b>               | <b>198,485.96</b>    |